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Execution and Settlement through: ETRADE Australia Securities Limited trading as
 E*TRADE Australia ACN 078 174 973 AFSL No. 238277, an ASX and Chi-X Australia
 market participant, an ASX Clear participant and ASX Settlement participant.

Adviser required to fill in

Adviser name: Client's account no: Brokerage scale:

This form must be completed for all new clients. Please send completed form to E*TRADE Broking Services, PO Box 1346 Royal Exchange NSW 1224.

1. Type of Account

Individual

Joint

Sole Trader

Company

Superfund/Trust

Registered Body

2. For Company and Company Trustees

ACN/ABN

or

ABRN

3. CHESS Registered Account Name

Account Name

Account Designation

The name you nominate here will be your CHESS Registered Name. Account Designation is the name of the superfund, trust or minor's name.

4. Address Individual, joint accounts or sole traders to provide a residential address. Company, super and trust accounts, to provide the registered address. This will be your CHESS registered address **unless** you provide an address in question 5 or 6.Address
(not PO Box)

Suburb/City

State

Postcode

Country

5. Postal Address (if different from above). This will be your CHESS registered address, **unless** you provide an address in question 8.

Address

Suburb/City

State

Postcode

Country

6. Adviser Address If address is provided this will be the CHESS Registered Address

Address

Suburb/City

State

Postcode

Country

7. Contact Details: your preferred means of contact (Email address plus at least one phone number is required) Phone (w) Phone (h) Phone (m) Fax Email

8. Account Holder 1 (Please provide the details for an individual, trustee, custodian or company director.)

Title

Mr

Mrs

Ms

Miss

Other

Gender

Male

Female

Family Name

Given Name/s

Date of Birth

Occupation

Residential Address

Suburb/City

State

Postcode

Country

Are you a US resident for tax purposes or a US Citizen (Only answer this question for Individual, Sole Trader or Joint account type)?

Yes

No

9. Account Holder 2 (Please provide the details for an individual, trustee, custodian or company director.)

Title

Mr

Mrs

Ms

Miss

Other

Gender

Male

Female

Family Name

Given Name/s

Date of Birth

Occupation

Residential Address

Suburb/City

State

Postcode

Country

Are you a US resident for tax purposes or a US Citizen (Only answer this question for Individual, Sole Trader or Joint account type)?

Yes

No

10. Account Holder 3 (Please provide the details for an individual, trustee, custodian or company director.)

Title Mr Mrs Ms Miss Other Gender Male Female

Family Name Given Name/s

Date of Birth / / Occupation

Residential Address

Suburb/City State Postcode Country

Are you a US resident for tax purposes or a US Citizen (Only answer this question for Individual, Sole Trader or Joint account type)? Yes No

11. Contract Notes: please your preference: I/we would like to receive my/our contract notes by: Email Post Email and post

12. Custodian/Margin Lender Details (Complete this section if you are applying for a DVP account)

This account must be in the name of, or include the name of, the party in Q3.

Custodian Name

PID

External Reference Number

CTM Reference (Optional)

If you completed section 12, please go to section 18 Acknowledgements/Declaration

13. Nominated Financial Institution Account

You must nominate a financial institution account in the name of, or including the name of the party shown in Q3 into which we will credit any funds withdrawn from your ANZ Bank Account. On request, cleared funds are remitted to this financial institution account as cheque withdrawals are not available.

Account Holder Name

BSB Number - Account Number

14. Tax File Number

Please include the Tax File Number (TFN) of each Account Holder signing. Or, in the case of a company, fund or trust account include that body's TFN. Collection of TFN is authorised by the Australian Taxation Office. It is not compulsory to quote a TFN however if you choose not to, withholding tax will be applied at the highest rate. Should you choose to provide a TFN, we will pass it on to the E*TRADE Australia, ANZ Bank and share registries with whom you hold securities.

Tax File Number – Account Holder 1 - - Or Exemption Code

Tax File Number – Account Holder 2 - - Or Exemption Code

15. Direct Debit

I/We authorise and request E*TRADE Australia to arrange for funds to be debited from my/our account at the financial institution identified in Q13. I/We understand and acknowledge that:

1. My financial institution has absolute discretion to decide the order in which it will pay money under this request, or any other request, authority or mandate;
2. My financial institution has absolute discretion to refuse to honour this request at any time;
3. You may vary the amount or frequency of future debits to meet amounts payable under the product;
4. This request operates on the terms of the attached Direct Debit Service Agreement. Yes No

16. CHESS Sponsorship Transfer (Complete only when transferring sponsorship to E*TRADE).

I/We am/are currently sponsored on CHESS by another broker or have issuer sponsored securities but would prefer to hold some or all of my/our securities with E*TRADE Australia Securities Limited ('E*TRADE Australia') sponsorship. (Please read the Sponsorship Agreement and if you agree with the terms contained therein, complete this section).

Broker Sponsored Holdings (please attach a copy of your CHESS holding statement)

Name of Existing Sponsoring Broker HIN to be transferred

CHESS Registered Account Name

If you complete this section your HIN and all holdings on the HIN will be transferred from your existing broker to E*TRADE Australia.

To transfer selected holdings or issuer sponsored holdings complete the Broker to Broker Transfer or Issuer Sponsored Conversion forms.

Please note, transfers may require re-notification of DRP and Tax File Numbers. The account name and address with your existing broker must be EXACTLY the same as your account name and address with E*TRADE.

17. Dividend Direction (Only applicable if distribution is paid into the E*TRADE Cash Investment Account): Please

I/We hereby authorise and request E*TRADE Australia to instruct the share registries of any companies in which I hold shares to pay any dividends and other distributions directly into my/our E*TRADE Australia Cash Investment Account. These instructions apply for all existing holdings and future holdings. Please note:

- This dividend direction applies only to shares held in the account name below which are Broker Sponsored by E*TRADE Australia.
- These instructions will override all previous instructions that you have provided to share registries regarding payment of dividends.
- If you wish to use an account other than your E*TRADE Australia Settlement Account for the direct crediting of dividends, you will need to liaise directly with the relevant share Registries.
- This form operates as a standing authorisation and instruction by you to E*TRADE Australia in relation to any shares that you purchase through E*TRADE Australia at any time after the date of this form. E*TRADE Australia will provide your E*TRADE Australia Settlement Account details to the relevant registry at the time of purchasing the stock for you.
- You may cancel your Dividend Direction Request at any time by a written confirmation.

18. Acknowledgements/Declaration

- 1. I/We acknowledge having received, read and understood the Trading Terms and Conditions, CHESSE Sponsorship Agreement (applicable if E*TRADE is sponsoring the HIN), Financial Services Guide, Direct Debit Service Agreement (if applicable) and ANZ Privacy Policy.
- 2. I/We have received, read and understood the CHESSE Sponsorship Agreement and agree to be bound by its terms and conditions (applicable if E*TRADE is sponsoring the HIN).
- 3. I/We enter into this agreement on the Trading Terms and Conditions as updated from time to time or included within the account application package should it have been dispatched to me/us by mail. By signing this agreement I/we agree to be bound by these Trading Terms and Conditions.
- 4. I/We authorise E*TRADE Australia to open a Bank Account as Account Holder and trustee of which I/we are beneficiaries for the purpose of settling share transactions on the account (applicable for opening an E*TRADE Cash Investment Account).
- 5. I/We authorise to give effect to my instructions regarding execution and settlement of my/our securities transactions. I will be bound by all such instructions.

For Company/Partnership/Trust (Referring to the Know Your Customers section)

I/We certify that; I/We understand and agree to all matters specified in this form and this declaration; the place of establishment/registration of the Company/ Partnership/Trust specified in this form is accurate and can be relied upon by E*TRADE; the nature of business specified in this form generates at least 50% of Company's/Partnership/Trust gross income; and all other information provided in this form is true and correct.

Anti-Money Laundering and Sanctions

The Client agrees that E*TRADE Australia may delay, block or refuse to process any transaction without incurring any liability if E*TRADE Australia suspects that:

- a. the transaction may breach any laws or regulations in Australia or any other country;
- b. the transaction involves any person (natural, corporate or governmental) that is itself sanctioned or is connected, directly or indirectly, to any person that is sanctioned under economic and trade sanctions imposed by the United States, the European Union or any country; or
- c. the transaction may directly or indirectly involve the proceeds of, or be applied for the purposes of, unlawful conduct.

The Client must provide all information to E*TRADE Australia which E*TRADE Australia reasonably requires in order to manage money-laundering or terrorism-financing and economic and trade sanctions risk or to comply with any laws or regulations in Australia or any other country. Unless the Client has disclosed that it is acting in a trustee capacity or on behalf of another party, the Client warrants that it is acting on its own behalf in signing this Application Form. The Client declares and undertakes to E*TRADE Australia that the processing of any transaction by E*TRADE Australia in accordance with the Client's instructions will not breach any laws or regulations in Australia or any other country.

19. Signatory Requirements

Signature	<input checked="" type="checkbox"/>	<input type="text"/>	Date	<input type="text" value="/"/>	<input type="text" value="/"/>	<input type="text" value="/"/>	Name	<input type="text"/>	Title (if company)	<input type="text"/>
Signature	<input checked="" type="checkbox"/>	<input type="text"/>	Date	<input type="text" value="/"/>	<input type="text" value="/"/>	<input type="text" value="/"/>	Name	<input type="text"/>	Title (if company)	<input type="text"/>
Signature	<input checked="" type="checkbox"/>	<input type="text"/>	Date	<input type="text" value="/"/>	<input type="text" value="/"/>	<input type="text" value="/"/>	Name	<input type="text"/>	Title (if company)	<input type="text"/>

For joint applicants, please indicate signatory requirements for all written instructions (please tick one): Either applicant to sign All applicants to sign

E*TRADE Australia Direct Debit Service Agreement.

This agreement sets out the terms on which you have authorised E*TRADE Australia to arrange for amounts owing to E*TRADE Australia, to be made by deduction from your account at the **approved financial institution**.

1. You will need to complete the Direct Debit Request (DDR-Form) set out in the Application Form to use the E*TRADE Australia trading facility provided by us (the 'Service').
2. By the Direct Debit Request, you authorise us to arrange for amounts that become payable to E*TRADE Australia under the Service, to be made by deduction from your account at the **approved financial institution** written on your DDR-Form.
3. Your Direct Debit Request allows us to arrange for payment to us for the amounts on the trade day or other nominated times, as required by the Trading Terms and Conditions ('Conditions') of the Service including all amounts in relation to your trading instructions or your adviser's trading instructions to us.
4. You can cancel your Direct Debit Request by calling us on 1300 363 241 8:30 am to 5:00 pm AEST weekdays. We will require 6 working days to process your cancellation request (in some cases, we will also need your written confirmation). You or your adviser cannot, however, vary, defer or suspend it, or stop or suspend an individual debit from taking place under it.
5. Once you have asked us to cancel your Direct Debit Request:
 - We will cancel any outstanding buy orders that have been approved on the basis of accessing funds via direct debit;
 - We can still use the Direct Debit Request to process any filled, but unsettled, buy orders for another 6 working days; and
 - You will not be able to give further buy orders without cleared funds in your E*TRADE Australia Cash Investment Account or until we have received a replacement Direct Debit Request.
6. Debits will be processed in accordance with the Conditions of the Service. If a due date for a debit falls on a weekend or public holiday, the debit will be processed on the next settlement day. Please contact us if you are uncertain when a debit will be processed to your account.

7. You must ensure that you have sufficient **cleared funds** available in the nominated account by the due date to permit the payments under the Direct Debit Request as required by the Conditions of the Service.

IMPORTANT Information: The funds will be drawn on trade day. Please ensure that when placing an at limit order that cleared funds are maintained in the account at the approved financial institution, during the entire life of the order. Insufficient cleared funds in your nominated financial institution account e.g. an uncleared cheque deposit will result in the drawing being unsuccessful.

8. If a drawing is unsuccessful you or your adviser will need to transfer cleared funds to the E*TRADE Australia Cash Investment Account by T+3.
9. Please contact E*TRADE Australia on 1300 363 241 if you have any questions about your Direct Debit Request, such as concerns about a debit that we make under it. We will reply to you within seven (7) days.
10. We can vary this Service Agreement at any time after giving you 14 days notice of the changes.
11. We will keep information about your financial institutions account confidential, except to the extent necessary to resolve any claim you might make relating to a debit which you claim has been made incorrectly.
12. Please ensure that your **approved financial institution** allows direct debits on your nominated account

E*TRADE Broking Services

Know Your Customers.

This KYC document must be completed for all new clients in order to activate a new account. It covers a range of client types, all of which are listed here:

- Individuals
- Joint Account Holders
- Companies (Domestic & Foreign)
- Trusts with Individual Trustee(s)
- Trusts with Company Trustee(s)
- Trusts with a combination of Individual Trustee(s) and Company Trustee(s)
- Sole Traders
- Partnerships
- Associations

To complete this document you should first determine the type of client that you are signing up (e.g. a Trust with an Individual Trustee). You can then use the table below to determine which sections need to be completed.

All fields within each section are compulsory unless stated otherwise.

Incorrectly completed forms will be rejected and may delay the opening of your clients account.

Once you have completed this form, it should be returned along with your clients completed and signed Application Form and all required Certified Copies to your Account Service Manager for processing.

CLIENT TYPE	REQUIRED SECTIONS
Individuals	1 & 7
Joint Accounts	1 & 7
Companies	1, 2 & 7
Trusts	• Individual Trustee(s) • Company Trustee(s) • Combination
Sole Traders	1, 3 & 7
Partnerships	1, 5 & 7
Associations	1, 6 & 7

1. Account Holder Details



Identification

Please attach certified copies for each account holder listed in the application form confirming date of birth or residential address.

- ONE of the below Type A documents; or
- TWO of the below Type B documents; or
- ONE of the below Type B documents AND
- ONE of the below Type C documents.

Type A Documents

- Current Drivers Licence (must include a photo)
- Passport (Australian passports must not be expired by more than 2 years, foreign passports must be current)
- Current State Identification Card (must include age/date of birth and a photo)

Type B Documents

- Birth Certificate or Birth Extract (and Marriage Certificate or Change of Name Certificate if there has been a name change)
- Citizenship Certificate
- Pension Card issued by Centrelink
- Health Card issued by Centrelink

Type C Documents

- Financial Benefits Statement (less than 3 months old)
- Income Tax Assessment notice (less than 1 year old)
- Rates or utility notice (less than 3 months old)

CLIENT TYPE	REQUIRED ACCOUNT HOLDERS
Individuals	The individual only (no others allowed).
Joint Accounts	Both individuals only (no others allowed).
Companies	One or two Directors or authorised corporate representatives.
Trusts	• Individual Trustee(s) • Company Trustee(s) • Combination Trustee(s)
Sole Traders	The sole trader only (no others allowed).
Partnerships	At least one partner.
Associations	At least one association member.

Document Certifiers

Australian Certifiers


- Justice of the Peace
- Optometrist
- Dentist
- Chiropractor
- Physiotherapist
- Nurse
- Medical practitioner
- Police officer
- Pharmacist
- Permanent employees with 2 or more continuous years of service with one or more Australian financial institutions
- Permanent employees of the Australian Postal Corporation (Australia Post) with 2 or more years of continuous service
- Teachers employed on a full-time basis at a school or tertiary education institution
- Members of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants

Foreign Certifiers

- Commissioner for Affidavits
- Australian Consular Officer or Australian Diplomatic Officer
- Judge in a court
- Permanent employees with 2 or more continuous years of service with one or more Australian or overseas financial institutions
- Magistrate in a court
- Notary public
- Commissioner for Declarations

2. Company Details

(Complete this section for Companies and Company Trustees)

Registered Company Name	
Principal Place of Business Address (if any)	
Registered Office Address (in country of establishment)	
	Same as above <input type="checkbox"/>
Nature of Business Activities (Generates at least 50% of Gross Revenue)	
Country of Establishment	
Full Name of all Directors (include address and date of birth for foreign companies)	
Full Name and Address of all Beneficial Owners holding more than 25% ownership (Proprietary and Foreign Companies Only)	
<p>Select One Registration Status</p> <input type="checkbox"/> Australian Public Company <input type="checkbox"/> Australian Proprietary Company <input type="checkbox"/> Foreign Company Registered in Australia <input type="checkbox"/> Foreign Company Registered Outside Australia	
Company Type	
ID Number	
<input type="checkbox"/> Foreign Company Not Registered Outside Australia	
 Attach the basic ASIC search or an equivalent foreign registration body extract confirming;	
<ul style="list-style-type: none"> • Company Name • ACN (or ABRN) • Proprietary or Public • Registration Number (if any) 	

3. Sole Trader Details


(Complete this section for Sole Traders)

Full Business Name
Nature of Business (Generates at least 50% of Gross Revenue)
Principal Place of Business Address (if any)

4. Trust / Superfund Details

(Complete this section for Trusts and Superannuation Funds.

Ensure that at least one Individual Trustee is identified in section 1, if applicable, and at least one company trustee is identified in section 2, if applicable.)

Full Trust Name (e.g. XYZ Superannuation Fund)	
Type of Trust (e.g. discretionary – see table below for all trust types)	
Country of Establishment	
Registered Office Address (in country of establishment)	
Nature of Business Activities (Generates at least 50% of Gross Revenue)	
Full Name of all Trustee/s	
Full Name of all Beneficiary(s) (and percentage ownership if applicable)	
%	
%	
%	
%	
%	
%	
%	
 Attach a certified copy of the trust deed or an extract of the trust deed showing;	
<ul style="list-style-type: none"> • Full Trust Name • Execution Date • Full Name & Signature of all Trustee(s) • Full Name & Signature of all Beneficiary(s) 	

Trust Types

- Discretionary/Family Trusts: protecting family or business assets for legal liability.
- Unit Trusts: ownership of the trusts assets are split into shares or units giving a percentage of income, capital gains and voting powers to holders of the shares.
- Hybrid Trusts: a combination of a discretionary and a unit trust, giving the trustee the power to divide ownership amongst beneficiaries.
- Testamentary Trusts: created upon the death of an individual and used as a means of managing that persons assets.
- Superannuation Funds
- Minors

5. Partnership Details

(Complete this section for all Partnerships)

Full Partnership Name


Full Registered Business Name (if any)

Nature of Business (Generates at least 50% of Gross Revenue)

Country of Establishment

Registered Office Address (in country of establishment)

Full Name and Address of all Partners

 Attach a certified copy of one of the below documents confirming the partnership name;

- Partnership Agreement, or
- Australian Partnership Taxation Return, or
- Minutes of a meeting confirming the attendance and approval from an authorised representative.

6. Associations

(Complete this section for all Associations)

Full Association Name

Registered Unique Identifying Number (if any)

Full Name of the Chairman (or equivalent)

Full Name of the Secretary (or equivalent)

Full Name of the Treasurer (or equivalent)


Principal Place of Business Address (if any)

Registered Address

Nature of Business Activities (Generates at least 50% of Gross Revenue)

Place of Establishment or Registration (country)

Association Objectives

 Attach a certified copy of one of the below documents verifying the Association Name and Registered ID Number;

- Constitution/Rules
- Minutes of a meeting of the Association
- Information provided by ASIC or the state, territory or overseas body responsible for the association
- Reliable and independent documents or electronic data

7. Adviser declaration

(Complete this section for all accounts)

I declare to ETRADE Australia Securities Ltd that:

- I have satisfied the verification requirement in this KYC schedule.
- I have collected all client & account holder information disclosed in this KYC Schedule from an Authorised Representative of the client named in the Application Form.
- I have checked that all required account holders are included & all required documents are attached and have used original or certified copies provided by the client. Where photographic identification is used in the presence of the client, I have confirmed in the presence of the client that the photograph of the client is a reasonable likeness of the client.
- I have verified the full name, address and/or D.O.B of all Account Holders.
- For Companies & Company Trustees I have completed the free ASIC search available online and confirmed the full company name & ACN as well as verified & indicated if the Company is Proprietary or Public.

Adviser name

Position

Signature

Date

Please note: if the account applicant and the adviser is the same person, another authorised person from the Intermediary is required to sign this form.

Certified Copies

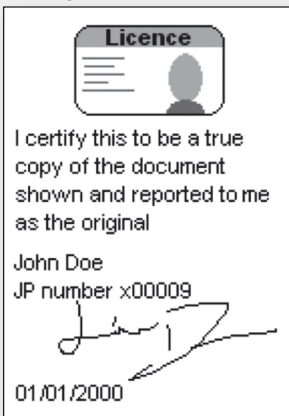
A certified copy is a photocopy of an original document (e.g. a licence) that a document certifier (see page1) has confirmed to be a true and accurate copy.

To produce a certified copy;

- Photocopy the original document
- Take the photocopy and original to a document certifier
- Have the certifier write "I certify this to be a true copy of the document shown and reported to me as the original" on the photocopy
- Have the certifier write their name, occupation/qualification, registration details (if any) and the date on the photocopy. For multiple pages the certifier must initial each page and number as Page 1 of xx etc.
- Have the certifier sign the photocopy

Certified copies must be clear photocopies signed and dated within 3 months of their submission to E*TRADE.

Example of a Certified Document



Licence

I certify this to be a true copy of the document shown and reported to me as the original

John Doe
JP number x00009

John Doe

01/01/2000